

OXFORD UNIVERSITY INNOVATION



Intellectual Property &
Commercialisation
WIMM DPhil Course 2020



Intellectual Property & Commercialisation



- Oxford University Innovation
- Intellectual Property & ownership
- Patents
- Third-party IP in research projects
- Commercialisation of University IP

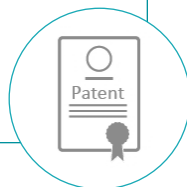
Oxford University Innovation Ltd

Creating global impact from academic discovery and expertise



• **Enabling impact from discovery** through
Licensing, IP and Patents,
Spinout formation, Material
Sales, Clinical Outcomes,
Startup Incubator, Social
Enterprise creation, Oxford
University Hospitals NHS
Foundation Trust

**Licensing &
Ventures**



• **Supporting researchers and external partners**
to utilise academic
expertise and technical
services

**Consulting
Services**



• **Enabling ventures to grow from concept to maturity**
through Seed Funds, Oxford
Angels Network, Spinout
Equity Management

**Funding,
Investment &
Management**



OUI at a glance

Data from the 2019 Annual Review



Activities 2019*

Fundamental to the long-term success of any technology or idea that comes through OUI is the foundation of strong, professional support.



1002

Total deals



535

Consulting deals



367

Disclosures



338

Consultants utilised



188

Commercial Licences



19

Spinouts created



£438.8m

External investment in portfolio companies



£23.9m

Translational funding awarded



£164m

Value of Oxford's shares in spinout portfolio

£18.2m

Revenues

£9.2m

Return to researchers



\$877m

Acquisition

4312

Patents under management



Business incubator launched



2

Social Enterprises launched



Pan-University OUI board



3

Incubator startups

*Data for the academic year ending July 2019

Intellectual property & IPR



- **Intellectual Property (IP)** is ideas, information and knowledge;
 - “**Intellectual**” because it is creative output; and
 - “**Property**” because it is viewed as a tradable commodity.
- **Intellectual Property Rights (IPR)** are specific legal rights which protect the owners of IP.
 - Includes rights like **patents** and **copyright**
 - Also **database rights, design rights, trademarks and know-how**

Intellectual Property Rights (IPR) **can be traded** and used to generate income

Intellectual property ownership - Oxford



The University claims ownership of all intellectual property* which is devised, made, or created (Statute XVI: Property, Contracts, and Trusts, PART B):

- (a) by persons employed by the University in the course of their employment; and
- (b) by student members only where:
 - i. it is created jointly with a University employee
 - ii. it is created using University facilities or equipment
 - iii. that intellectual property is subject to obligations that the University owes to a third party
 - iv. it is created using funding received from the University
 - v. the student is an employee or otherwise engaged or under contract to the University

* It will not assert its claim to ownership of copyright in books, teaching aids, theses etc

A product or service can be protected in multiple ways



Legal Right	Applicable to:	Driver
Patents	New inventions	Stop others from making, selling, or using the invention
Trademark	Product identifiers	Stop others from using your product names, logos and jingles
Registered design	External appearance	Stop others using the appearance: shape, packaging, patterns, colours and decorations.
Know-how	Information not publically known	Can be licensed to others to generate revenue
Copyright	Original creative or artistic forms	Stop others from using writing and literary works, art, photography, films, TV, music, etc.
Database rights	Databases	Can be licensed to others to generate revenue

Multiple layers of IP protection can be used simultaneously

Patents can be used to protect new inventions



- Prevents unlicensed **manufacture, use, importation** and **sale**
- Can **choose to let others use** under agreed terms
- Gives breathing **space to develop a business based** on an invention
- **Society in general benefits** from improved products
- Can be **licensed** before it is granted



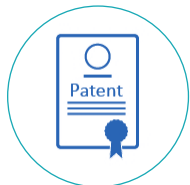
- **Formal application** required
- Time until protection granted: **3-5 years**
- Duration of protection: **20 years**
- Full description of **invention published** after 18 months
- Do not confer a legal right to exploit the invention
- **Geographic** dependence
- **Costly**

HUMIRA
adalimumab

OPDIVO
(nivolumab)

 **Remicade**
INFLIXIMAB

 **Herceptin**
trastuzumab



Criteria for patentability



What is prior art?



Prior art is **any** evidence that your invention is already known (i.e. informs on novelty and inventiveness)

This includes...

Anything publicly disclosed about your invention (by you or anyone else)

Conference posters or talks

Blogs or website posts

Papers and theses*

Videos about the invention

Anything that suggests or alludes to something very similar to your invention (or part of it)

Patents that overlap (part of/all of) your invention

Publications

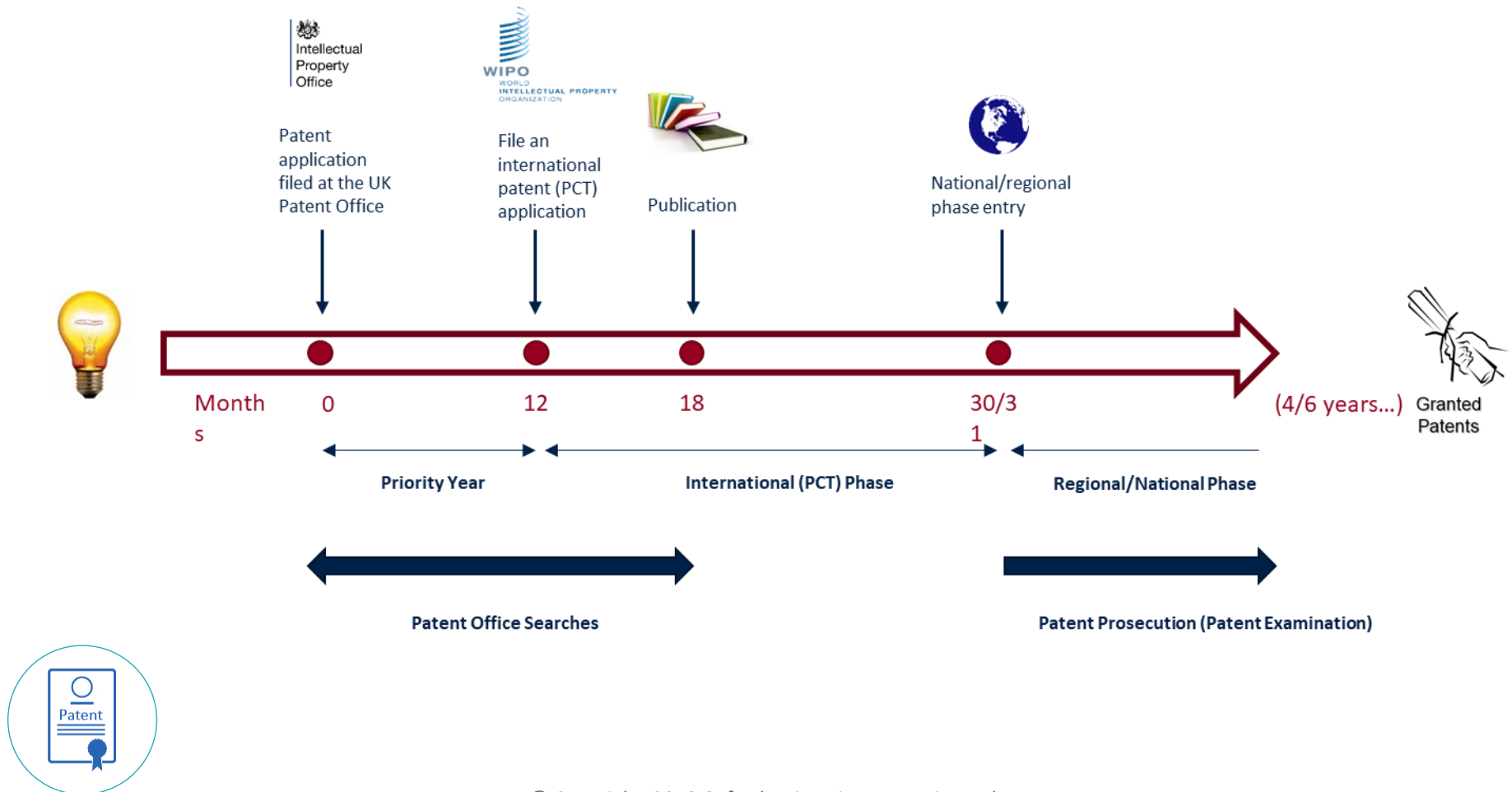
Centuries old technologies

Cave paintings, The Beano and Star Trek...

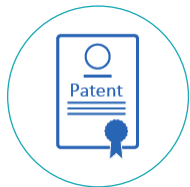
* Unless subject to embargo



Patent application process



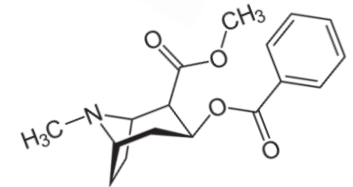
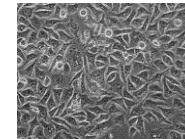
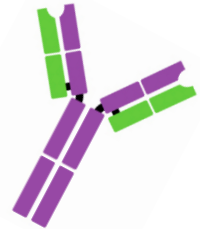
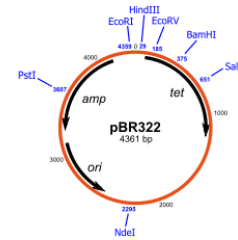
- “Experimental use exemption”:
 - activity conducted for the purpose of discovering something new about the subject matter of the invention but not merely verifying what is already known (e.g. regulatory approval of generic)
- “Bolar Exemption”:
 - exempts from patent infringement activities conducted only for the purpose of obtaining an abridged marketing authorisation application by a generic manufacturer.
- New Experimental Use Exemption in the UK (2014):
 - the preparation and running of clinical trials on innovative drugs for marketing authorisation are also exempt (e.g. new medical use, additional market authorisations etc)



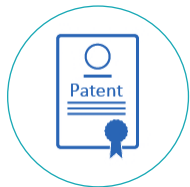
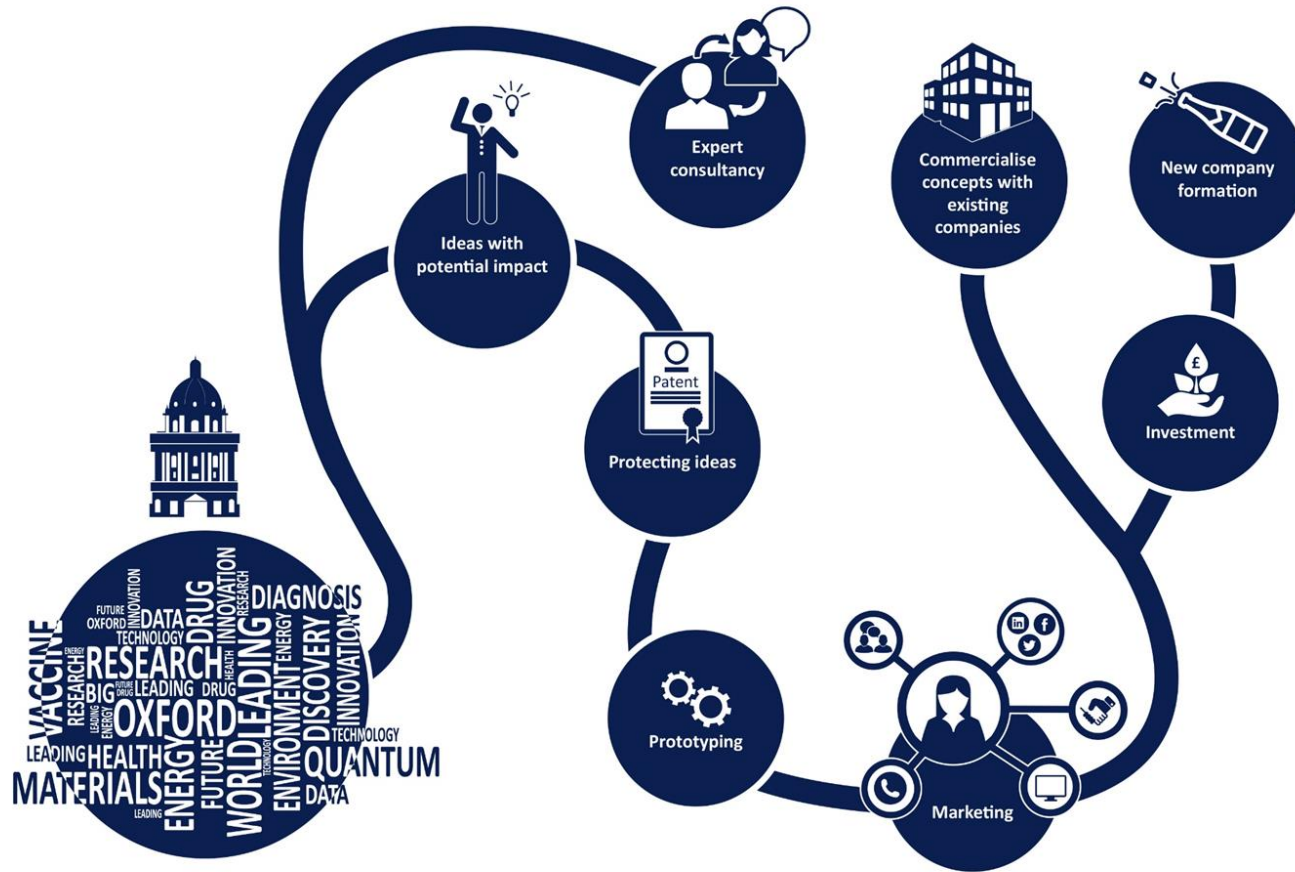
Using proprietary materials: Material Transfer Agreements



- document the transfer of scientific material (e.g. plasmids, drugs, antibodies, cell lines etc)
- benefits to the provider may include:
 - control over further distribution
 - restrict use to non-commercial research
 - ownership of improvements to the materials
 - limit liabilities associated with use of material
 - access to results of the research
- MTA terms may be included in purchase orders (e.g. ATCC, ECACC etc)
- MTAs are negotiated and signed by Research Services



Routes to commercialisation

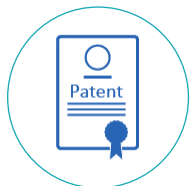
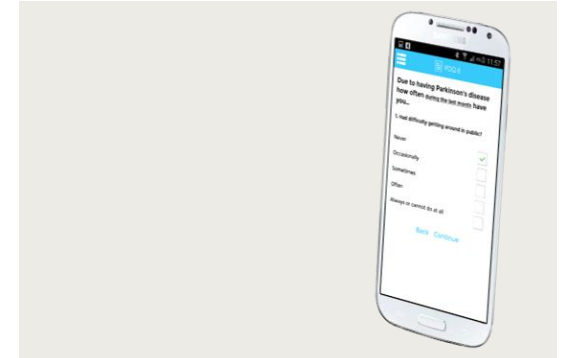


Licensing of Intellectual Property

Access to technologies and IP developed in Oxford



- **100+ commercial licenses/year** concluded with companies small and large
 - Includes **Clinical Outcomes** assessment (COA) measures - mostly Patient Reported Outcome Measures (PROs or PROMs).
- Example : suite of digital health products for data analysis, decision support and patient safety information
 - **Machine learning artificial intelligence software**, developed at Oxford University's **Institute of Biomedical Engineering**.
 - Will improve **patient health outcomes** and reduce **healthcare costs**.
 - Licensed to **Sensyne Health** (formerly Drayson Technologies) to lead evaluation and deployment across the NHS.



Spin-out Companies



In some cases setting-up a new company (a “spin-out”) can be a more appropriate route to commercialisation

Successful spin-outs involve:



Recent WIMM spin outs



Image and data
management



ORBIT DISCOVERY

Peptide Therapeutics

MiroBio

Novel anti-inflammatory therapies



Nucleome
Therapeutics

Unlocking the non-coding
genome for human health



OXSTEM

Regenerative medicine



THERAPEUTICS

iNKT agonists for
immuno-oncology

Oxford Startup Incubator

Supporting entrepreneurial ventures from Oxford



- Assist in the creation and development of non patent led businesses
 - Open to researchers, employees, students and alumni
- Comprehensive support includes
 - commercial advice & mentoring;
 - workshops and training sessions;
 - desk space; and
 - access to business and investment networks.



THANK YOU



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